

SUMMARY OF MATERIALS INCORPORATED BY REFERENCE

201 KAR 17.120

(1) The following material is incorporated by reference: "The Audiology and Speech-Language Pathology Interstate Compact Rules", October 7, 2023, and as revised.

- (a) Chapter 1 – Rule on Definitions, adopted April 17, 2023;
- (b) Chapter 2 – Rule on Data System Reporting Requirements, adopted April 17, 2023; and
- (c) Chapter 3 – Rule on Implementation of Criminal Background Check Requirement, adopted October 7, 2023.
- (d) Chapter 4 – Rulemaking on Fees, adopted June 30, 2025.

SUMMARY OF CHANGES TO MATERIALS INCORPORATED BY REFERENCE

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The following changes have been made to materials incorporated by reference, as follows: "The Audiology and Speech-Language Pathology Interstate Compact Rules", June 29, 2026, and as revised, to add the following rules as adopted by the Compact:

- (e) Chapter 5 – Rule on Dispute Resolution, adopted June 29, 2026;
- (f) Chapter 6 – Rule on Joint Investigations, adopted June 29, 2026;
- (g) Chapter 7 – Rule on Post Graduate Professional Experience, adopted June 29, 2026;
- (h) Chapter 8 – Rule on National Examination, adopted June 29, 2026; and
- (i) Chapter 9 – Rule on Military Identification, adopted June 29, 2026.

Audiology and Speech-Language Pathology Interstate Compact (ASLP-IC)

Audiology and Speech-Language Pathology Interstate Compact Commission

Title of Rule: Rule on ASLP-IC Dispute Resolution

Drafted: January 22, 2026

Meeting at which Rule was discussed and voted on:

ASLP-IC Special Full Commission Meeting
June 29, 2026 via virtual teleconference (Zoom)

Effective: 30 days after Full Commission Approval: July 29, 2026.

History for Rule: January 22, 2026: Rules Committee votes to forward to Executive Committee

April 27, 2026: Executive Committee votes to forward to full Commission.

April 28, 2026 Rule posted to Commission website

June 29, 2026 Rule adopted by full Commission

July 29, 2026 Rule becomes effective

Chapter 5: Rulemaking on Dispute Resolution

Authority: **Section 3:** State Participation in the Compact

Section 8: Establishment of the Audiology and Speech-Language Pathology
Interstate Compact Commission

Section 10: Rulemaking

Section 11: Oversight, Dispute Resolution, and Enforcement

5.0 Purpose:

Pursuant to Section 11.A., upon request by a member state, the Commission shall attempt to resolve disputes related to the Compact that arise among member states and between member and non-member states.

Pursuant to Section 8.E.3.a., the Executive Committee shall have the following duties and responsibilities: Recommend to the entire Commission changes to the rules or bylaws, changes to this Compact legislation, fees paid by Compact member states such as annual dues, and any commission Compact fee charged to licensees for the compact privilege.

5.1 Definitions: A. "Dispute Resolution" means processes such as negotiation, mediation, or arbitration used to resolve conflicts or claims outside of traditional court litigation.

5.2 Dispute Resolution:

A. In accordance with Section 11 of the Compact, in the event that two (2) or more member states have a dispute, the parties shall attempt resolution following the steps set out in this rule.

B. The parties shall first attempt informal resolution. The delegates and appropriate staff in the member states involved in the dispute shall first contact each other. Delegates and/or appropriate staff shall submit a written statement describing the situation to the other delegates and/or appropriate staff involved in the dispute. Each member states' delegates and/or appropriate staff may submit a response. The submission of the statement and the response shall be in a mutually agreed upon time. If the dispute is related to an interpretation of the Compact, the parties shall contact the Executive Director to request assistance from the Executive Committee. If all issues are resolved, no further action is required. If any issue remains unresolved, the parties shall notify the Executive Committee, through the Executive Director, to request mediation and provide the Executive Committee with a concise statement of unresolved issue(s) and analysis including references to ASLP-IC statutes, rules and any supporting documents. The Executive Committee may refer the matter to the Compliance Committee. After review by the Compliance Committee, its recommendations will be sent to the parties and the Executive Committee for further review.

C. A member state that has a dispute with one or more other member states, and informal resolution was unsuccessful, shall attempt mediation. Mediation shall be conducted by a mediator appointed by the Executive Committee from a list of mediators approved by the National Association of Certified Mediators or as agreed to by all parties. If all issues are resolved through mediation, no further action is required. If mediation is unsuccessful, the parties shall submit to binding dispute resolution.

1. The costs of mediation shall be equally shared by all member states involved.
2. All member state delegates and/or appropriate staff shall be notified of all issues and disputes that rise to the mediation stage in order to comment on those matters and disputes that may impact all member states.
3. In the event of a dispute between member states that was not resolved through informal resolution or mediation, the member states shall submit to binding dispute resolution. The parties may choose binding dispute resolution either by submitting the question in dispute to the Commission for final action or by arbitration.
4. All member states involved shall agree to proceed with arbitration. In the absence of agreement, the matter shall be referred to the full Commission for final determination.

D. Each member state involved shall be responsible for its own respective expenses, including attorney fees.

E. The member state compact delegates involved in the dispute shall recuse themselves from consideration or voting by the full Commission.

F. Nothing herein shall prohibit the Commission from seeking injunctive relief for noncompliance.

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Audiology and Speech-Language Pathology Interstate Compact (ASLP-IC)

Audiology and Speech-Language Pathology Interstate Compact Commission

Title of Rule: Rule on ASLP-IC Joint Investigations

Original Rule Drafted: January 22, 2026

Meeting at which Rule was discussed and voted on:

ASLP-IC Special Full Commission Meeting
June 29, 2026 via virtual teleconference (Zoom)

Effective: 30 days after Full Commission Approval: July 29, 2026.

History for Rule: January 22, 2026: Rules Committee votes to forward to Executive Committee.

April 27, 2026: Executive Committee votes to forward to full Commission.

April 28, 2026 Rule posted to Commission website.

June 29, 2026 Rule adopted by full Commission.

July 29, 2026 Rule becomes effective.

Chapter 6: Rulemaking on Joint Investigations

Authority: **Section 3:** State Participation in the Compact

Section 7: Adverse Actions

Section 8: Establishment of the Audiology and Speech-Language Pathology
Interstate Compact Commission

Section 10: Rulemaking

6.0 Purpose: Pursuant to **Section 7**, any Member State may participate with other Member States in joint investigations of licensees.

6.1 Definitions: A. "Adverse Action" see ASLP-IC Section 2(B)

B. "Compact" means the ASLP-IC.

C. "Compact Privilege" see ASLP-IC Section 2(H)

D. "Confidential and filed under seal" means all information and documents required to be shared in compliance with the Compact shall be transmitted confidentially and may not be discoverable in civil litigation, re-disclosed voluntarily or pursuant to a public records request, or produced pursuant to civil or criminal subpoena, except that such information may be used for the purpose of investigating and taking disciplinary action and may be disclosed as part of any public disciplinary action resulting from the investigation.

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- E. "Data System" see ASLP-IC Section 2(J)
- F. "Current significant investigative information" see ASLP-IC Section 2(I)
- G. "Joint Investigation" means an investigation conducted jointly by two or more Member States.
- H. "Licensee" see ASLP-IC Section 2(O)
- I. "Minor Infraction" see ASLP-IC Rule on Definitions Chapter 1.1(e)
- J. "Member State" see ASLP-IC Section 2(P) means a State that has enacted this Compact.

6.2 Joint Investigations:

A. In addition to the authority granted to a member state by its respective audiology or speech-language pathology practice act or other applicable state law, any member state may participate with other member states in joint investigations of licensees.

B. Member States shall share any investigative, litigation, or compliance materials in furtherance of any joint or individual investigation initiated under the Compact.

C. If a Member State seeks to initiate a joint investigation, it may obtain a list of all other Member States where the audiologist or speech-language pathologist holds Compact Privileges or a Home State License from the Compact Commission or the Data System.

D. States participating in a Joint Investigation shall designate a lead investigative state.

E. The lead investigative state shall direct the investigation and update the other members of the joint investigation upon any significant developments in the joint investigation.

F. The lead investigative state may request the other States participating in the joint investigation to conduct investigatory tasks in their own states.

G. A non-lead investigative state may continue its own investigation but shall keep the lead investigative state apprised of its investigatory actions and shall coordinate its actions with the lead investigative state.

H. States participating in the joint investigation shall share investigative, litigation, or compliance materials in furtherance of the investigation.

I. During a joint investigation, a Member State may request that another Member State issue a subpoena on behalf of the joint investigation or assist in the enforcement of a lawful subpoena issued by the joint investigation.

J. A Member State may elect to withdraw from a joint investigation at any time, however upon doing so it shall share with the remaining members of the joint investigation any investigative information.

litigation, and compliance materials in its custody and control which were obtained or generated during the course of the joint investigation.

K. If a joint investigation results in Current Significant Investigative Information, the lead investigative state shall be responsible for making a report to the Compact Commission on behalf of all participants in the joint investigation.

L. Any adverse action resulting from a joint investigation shall be reported to the Compact Commission by the Member State which took the adverse action.

M. Costs incurred for joint investigations shall be the responsibility of each participating member state.

Audiology and Speech-Language Pathology Interstate Compact (ASLP-IC)
Audiology and Speech-Language Pathology Interstate Compact Commission

Title of Rule: Rule on ASLP-IC Postgraduate Professional Experience

Drafted: April 2, 2026

Meeting at which Rule was discussed and voted on:

ASLP-IC Special Full Commission Meeting
June 29, 2026 via virtual teleconference (Zoom)

Effective: 30 days after Full Commission Approval: July 29, 2026.

History for Rule: April 2, 2026: Rules Committee votes to forward to Executive Committee.

April 27, 2026: Executive Committee votes to forward to full Commission.

April 28, 2026 Rule posted to Commission website

June 11, 2026: Rules Committee discusses public comments and recommends rule to Executive Committee without substantive changes.

June 22, 2026: Executive Committee approves Rules Committee recommendation and response.

June 29, 2026 Rule adopted by full Commission.

July 29, 2026 Rule becomes effective.

Chapter 7: Rulemaking on Postgraduate Professional Experience

Authority: Section 3: State Participation in the Compact

Section 8: Establishment of the Audiology and Speech-Language Pathology
Interstate Compact Commission

Section 10: Rulemaking

7.0 Purpose:

Pursuant to Section 3(F)(3), a speech-language pathologist is eligible to practice under a privilege having completed a supervised postgraduate professional experience as required by the Commission.

7.1 Definitions: A. "Supervised Postgraduate Professional Experience" means a structured, professional experience completed after graduation from an accredited speech-language pathology program, consisting of hours of clinical work, including contact with persons served, consultations, record keeping, clinical conferences, in-service training, and other relevant professional duties, as permitted under Section (3)(F)(1)(a) or (b).

B. "Compact" means the Audiology and Speech-Language Pathology Interstate
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Compact (ASLP-IC).

C. "Compact Privilege" see ASLP-IC Section 2(H).

D. "Supervision" means the provision of professional mentorship and support by a qualified supervisor, as determined by each member state, to the postgraduate individual throughout the post-graduate professional experience by ensuring the postgraduate individual's demonstration of the skills and knowledge required for competent practice.

7.2 Supervised Post Graduate Professional Experience:

A. Supervised Post Graduate Professional Experience may be obtained in a variety of diverse practice settings providing services for persons with speech, language, swallowing or hearing disorders.

B. A practice setting shall be appropriate for the professional experience of the particular program or program component designed to evaluate, habilitate or rehabilitate the communicative functioning of persons with speech, language, hearing or swallowing disorders.

C. Evaluation and treatment programs including, but not limited to, schools, clinics, hospitals, community agencies, home health care, skilled nursing facilities and private practice are appropriate professional experience settings.

D. The supervised post graduate professional experience shall be for a duration of a minimum of 9 months, or the equivalent of thirty-six weeks or 1,260 hours.

Audiology and Speech-Language Pathology Interstate Compact (ASLP-IC)

Audiology and Speech-Language Pathology Interstate Compact Commission

Title of Rule: Rule on ASLP-IC National Examination

Drafted: April 2, 2026

Meeting at which Rule was discussed and voted on: June 29, 2026

Effective: 30 days after Full Commission Approval: July 29, 2026.

History for Rule:

- April 2, 2026: Rules Committee votes to forward to Executive Committee.
- April 27, 2026: Executive Committee votes to forward to full Commission.
- April 28, 2026 Rule posted to Commission website.
- June 11, 2026: Rules Committee discusses public comments and recommends to Executive Committee without changes.
- June 22, 2026: Executive Committee approves Rules Committee recommendation and response.
- June 29, 2026 Rule adopted by full Commission.
- July 29, 2026 Rule becomes effective.

Chapter 8: Rulemaking on National Examination

Authority:

- Section 3: State Participation in the Compact
- Section 8: Establishment of the Audiology and Speech-Language Pathology Interstate Compact Commission
- Section 10: Rulemaking

8.0 Purpose: Pursuant to Sections 3(E)(3) and (F)(4), audiologists or speech-language pathologists are eligible to practice under a privilege having passed a national examination approved by the Commission.

8.1 Definitions:

- A. "National Examination" is the test required for member state licensure as an audiologist or speech-language pathologist covering assessment, treatment and professional practice and assesses beginning practitioners' understanding of essential content and current practices.
- B. "Compact" means the ASLP-IC Compact.
- C. "Compact Privilege" see ASLP-IC Section 2(H)

8.2 National Examination Approved by the Commission:

A. The national examination approved by the Commission is the Educational Testing Service, Praxis Examination in Audiology and Praxis Examination in Speech-Language Pathology, its successor or a national examination recognized by the Commission as being equivalent.

B. Each applicant must receive a passing score as determined by each member state's board.

Audiology and Speech-Language Pathology Interstate Compact (ASLP-IC)
Audiology and Speech-Language Pathology Interstate Compact Commission

Title of Rule: Rule on ASLP-IC Military Identification and Audit

Drafted: April 2, 2026

Meeting at which Rule was discussed and voted on: June 29, 2026

Effective: 30 days after Full Commission Approval: July 29, 2026.

History for Rule: April 2, 2026: Rules Committee votes to forward to Executive Committee.

April 27, 2026: Executive Committee votes to forward to full Commission.

April 28, 2026 Rule posted to Commission website.

June 29, 2026 Rule adopted by full Commission.

July 29, 2026 Rule becomes effective.

Chapter 9: Rulemaking on Military Identification and Auditing for Verification

Authority: Section 3: State Participation in the Compact

Section 8: Establishment of the Audiology and Speech-Language Pathology
Interstate Compact Commission

Section 10: Rulemaking

9.0 Purpose:

Pursuant to Section 1, sub-section 4, the purpose of the ASLP-IC is to facilitate interstate practice of audiology and speech-language pathology with the goal of improving public access to audiology and speech-language pathology services. One of the ASLP-IC's objectives is to (4) support spouses of relocating active duty military personnel.

Pursuant to Section 6, active duty military personnel, or their spouse, shall designate a home state where the individual has a current license in good standing. The individual may retain the home state designation during the period the service member is on active duty. Subsequent to designating a home state, the individual shall only change their home state through application for licensure in the new state. The purpose of this rule is to delineate procedures for any corrective action, as authorized by Commission rules, when an individual fails to submit the required documentation of military status, as specified under the Commission policy on Military Identification Submission for Audited Applicants. (Refer to Commission Policy on Military Identification Submission for Audited Applicants)

9.1 Definitions: A. "Active duty military" means full-time duty status in the active uniformed service of the United States, including members of the National Guard and Reserve on active duty orders pursuant to 10 U.S.C. Chapter 1209 and 1211.

B. "Compact" means the ASLP-IC Compact.

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C. "Compact Privilege" means the authorization granted by a Remote State to allow a Licensee from another Participating State to practice as an audiologist or speech-language pathologist to provide audiology or speech-language pathology services and other licensed activity to a patient located in the Remote State under the Remote State's laws and regulations.

D. "Home state" means the member state that is the licensee's primary state of residence.

9.2 Requirements

A. Applicants who claim military or military spouse status for the purpose of seeking privileges to practice through the ASLP-IC must verify that status upon request.

B. If an applicant is selected for audit and has indicated military or military spouse status on their CompactConnect application, they are required to follow the verification steps in accordance with the Commission's policy on Military Identification and Auditing for Verification.

9.3 Failure to submit required documentation to verify military status:

A. If an applicant does not submit a valid form of military identification into CompactConnect pursuant to the Commission's policy on Military Identification and Auditing for Verification, within the timeframe specified in the audit notice, the Commission may revoke or deny the individual's ASLP-IC privileges until valid identification of military status is provided.

B. The Commission is authorized to take other corrective action as warranted and based upon the circumstances.

C. An individual whose privilege is revoked or denied may request reconsideration by submitting a written request within thirty (30) days from the date of action to the Executive Director.

D. The Executive Director will notify the Executive Committee of the individual's request for reconsideration.

E. The Executive Committee shall review the request for reconsideration and make a final determination and notify the individual of its decision.